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## NOTICE OF ALLOWANCE AND FEE(S) DUE

71511 7590 03/19/2008

MARK M. FRIEDMAN  
C/O DISCOVEY DISPATCH, 9003 FLIRIN WAY  
UPPER MARLBORO, MD 20772

EXAMINER

PATEL, KAUSHIKKUMAR M

ART UNIT

PAPER NUMBER

2188

DATE MAILED: 03/19/2008

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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09/22/153

08/06/2001

Dov Moran

246/158

3977

TITLE OF INVENTION: NOVEL FLASH MEMORY ARRANGEMENT

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1440	\$300	\$0	\$1740	06/19/2008

**THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.**

**THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.**

### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

**IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.**

# **PART B - FEE(S) TRANSMITTAL**

**Complete and send this form, together with applicable fee(s), to: Mail Mail Stop **ISSUE FEE**  
**Commissioner for Patents**  
**P.O. Box 1450**  
**Alexandria, Virginia 22313-1450**  
 or Fax **(571)-273-2885****

**INSTRUCTIONS:** This form should be used for transmitting the **ISSUE FEE** and **PUBLICATION FEE** (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

**CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)**

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**Note:** A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

## **Certificate of Mailing or Transmission**

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop **ISSUE FEE** address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

(Depositor's name)
(Signature)
(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/22/153	08/06/2001	Dov Moran	246/158	3977

**TITLE OF INVENTION:** NOVEL FLASH MEMORY ARRANGEMENT

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nonprovisional	NO	\$1440	\$300	\$0	\$1740	06/19/2008

EXAMINER	ART UNIT	CLASS-SUBCLASS
PATEL, KAUSHIKKUMAR M	2188	711-103000

**1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).**

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
- ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. **Use of a Customer Number is required.**

**2. For printing on the patent front page, list**

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, 1 \_\_\_\_\_
- (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed. 2 \_\_\_\_\_
- 3 \_\_\_\_\_

**3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)**

**PLEASE NOTE:** Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE \_\_\_\_\_ (B) RESIDENCE: (CITY and STATE OR COUNTRY) \_\_\_\_\_

Please check the appropriate assignee category or categories (will not be printed on the patent) : ☐ Individual ☐ Corporation or other private group entity ☐ Government

**4a. The following fee(s) are submitted:**

- ☐ Issue Fee
- ☐ Publication Fee (No small entity discount permitted)
- ☐ Advance Order - # of Copies \_\_\_\_\_

**4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above)**

- ☐ A check is enclosed.
- ☐ Payment by credit card. Form PTO-2038 is attached.
- ☐ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number \_\_\_\_\_ (enclose an extra copy of this form).

**5. Change in Entity Status (from status indicated above)**

- ☐ a. Applicant claims **SMALL ENTITY** status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming **SMALL ENTITY** status. See 37 CFR 1.27(g)(2).

**NOTE:** The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature \_\_\_\_\_ Date \_\_\_\_\_

Typed or printed name \_\_\_\_\_ Registration No. \_\_\_\_\_

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.**

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## Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 908 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 908 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (<http://pair.uspto.gov>).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

### Notice of Allowability

**Application No.**

09/922,153

**Applicant(s)**

MORAN, DOV

**Examiner**

KAUSHIKKUMAR PATEL

**Art Unit**

2188

**-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--**

All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. **THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS.** This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.

1. ☒ This communication is responsive to amendments filed on May 03, 2007.
2. ☒ The allowed claim(s) is/are 19,22-27 and 36-38.
3. ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some\* c) ☐ None of the:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).
- \* Certified copies not received: \_\_\_\_.

Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application.

**THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.**

4. ☐ A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient.
5. ☐ CORRECTED DRAWINGS (as "replacement sheets") must be submitted.
- (a) ☐ including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached
- 1) ☐ hereto or 2) ☐ to Paper No./Mail Date \_\_\_\_.
- (b) ☐ including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date \_\_\_\_.
- Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).
6. ☐ DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.

#### Attachment(s)

1. ☐ Notice of References Cited (PTO-892)
2. ☐ Notice of Draftperson's Patent Drawing Review (PTO-948)
3. ☐ Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date \_\_\_\_
4. ☐ Examiner's Comment Regarding Requirement for Deposit of Biological Material
5. ☐ Notice of Informal Patent Application
6. ☐ Interview Summary (PTO-413), Paper No./Mail Date \_\_\_\_
7. ☒ Examiner's Amendment/Comment
8. ☐ Examiner's Statement of Reasons for Allowance
9. ☐ Other \_\_\_\_.

### EXAMINER'S AMENDMENT

1. An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

Authorization for this examiner's amendment was given by email communication with Mark Friedman (Reg. No. 33,883) on March 06, 2008.

2. The application have been amended as follows:

3. In the claims:

Claims 1-18. (Canceled).

Claim 19. (Currently Amended) A method for booting a system, the system featuring a processor [[for]] executing boot code, the method comprising:

providing a flash-based unit in the system [[for]] storing the boot code to be executed, said flash-based unit comprising a flash memory of a restricted type, being characterized in that code cannot be directly executed from said flash memory, and a volatile memory component, implemented as a single die chip with the flash memory, [[for]] receiving a portion of the boot code to be executed, said portion of the boot code being for basic initialization of hardware of the system;

sending a busy signal to said processor;

transferring said portion of the boot code to said volatile memory component;

removing said busy signal; and  
executing said portion of the boot code by said processor to boot the system.

Claims 20-21. (Canceled).

Claim 22. (Currently Amended) A method for booting a system, the system featuring a processor ~~[[for]]~~ executing boot code, the method comprising:

providing a flash-based unit in the system ~~[[for]]~~ storing the boot code to be executed, said flash-based unit comprising a flash memory of a restricted type, being characterized in that code cannot be directly executed from said flash memory, and a volatile memory component, implemented as a single die chip with the flash memory, ~~[[for]]~~ receiving a portion of the boot code to be executed;

transferring a first portion of the boot code to said volatile memory component, said first portion of the boot code being for basic initialization of hardware of the system and containing a command for copying a second portion of the boot code; and  
executing said first portion of the boot code by said processor to boot the system.

Claim 23. (Currently Amended) The method of claim 22, further comprising the step of:

transferring said second portion of the boot code ~~to said volatile memory component~~ for booting the system.

Claim 24. (Currently Amended) A flash-based unit ~~[[for providing]]~~ storing boot code to

be executed by an external processor, comprising:

(a) a flash memory [[for]] storing the boot code to be executed, said flash memory being of a type such that the boot code cannot be executed in place from said flash memory; and

(b) a volatile memory component, implemented as a single die chip with the flash memory, [[for]] receiving at least a portion of the boot code to be executed, such that at least said portion of the boot code is executed by the external processor from said volatile memory component, said at least portion of the boot code being only sufficient for basic initialization of hardware of a system that includes the external processor, said volatile memory component being only large enough to store said at least portion of the boot code.

Claim 25. (Currently Amended) A system [[for]] executing boot code from a restricted non-volatile memory, the restricted non-volatile memory being characterized in that code cannot be directly executed from the restricted non-volatile memory, the system comprising:

(a) a central processing unit (CPU) [[for]] executing the boot code; and

(b) a volatile memory component, implemented as a single die chip with the flash memory, in direct communication with the restricted non-volatile memory for holding at least a portion of the boot code to be executed, said at least portion of the boot code being transferred from the restricted non-volatile memory, such that said CPU executes said at least portion of the boot code from said volatile memory component, said at least

portion of the boot code being only sufficient for basic initialization of hardware of the system, said volatile memory component being only large enough to store said at least portion of the boot code.

Claim 26. (Currently Amended) A system [[for]] executing boot code, comprising:

(a) a flash-based unit [[for]] storing the boot code to be executed, said flash-based unit comprising a flash memory of a restricted type, being characterized in that the boot code cannot be directly executed from said flash memory, and a volatile memory component, implemented as a single die chip with the flash memory, [[for]] receiving a portion of the boot code to be executed, said portion of the boot code being only sufficient for basic initialization of hardware of the system, said volatile memory component being only large enough to store said at least portion of the boot code; and

(b) a processor [[for]] executing the boot code, said processor receiving at least said portion of the boot code from said volatile memory component;

wherein an additional memory component is not required for executing the boot code by said processor.

Claim 27. (Currently Amended) A flash-based unit [[for providing]] storing boot code to be executed by an external processor, consisting essentially of:

(a) a flash memory [[for]] storing the boot code to be executed, said flash memory being of a type such that the boot code cannot be executed in place from said flash memory, and



(b) a volatile memory component, implemented as a single die chip with the flash memory, [[for]] receiving at least a portion of the boot code to be executed, such that at least said portion of the boot code is executed by the external processor from said volatile memory component, said at least portion of the boot code being only sufficient for basic initialization of hardware of a system that includes the external processor, said volatile memory component being only large enough to store said at least portion of the boot code.

Claims 28-35. (Canceled).

Claim 36. (Original) The method of claim 19, wherein said flash-based unit is separate from the processor.

Claim 37. (Original) The method of claim 19, wherein said busy signal is sent in response to a power-on signal.

Claim 38. (Currently Amended) A method for booting a system, the system featuring a processor [[for]] executing boot code, the method comprising:

providing a flash-based unit in the system [[for]] storing the boot code to be executed, said flash-based unit comprising a flash memory of a restricted type, being characterized in that code cannot be directly executed from said flash memory, and a

volatile memory component, implemented as a single die chip with the flash memory,

[[for]] receiving a portion of the boot code to be executed;

transferring a first portion of the boot code to said volatile memory component, using a logic separate from the processor, said first portion of the boot code being sufficient for basic initialization of the system and containing a command for copying a second portion of the boot code; and

executing said first portion of the boot code by said processor to boot the system.

### ***Conclusion***

Any inquiry concerning this communication or earlier communications from the examiner should be directed to KAUSHIKKUMAR PATEL whose telephone number is (571)272-5536. The examiner can normally be reached on 7.30 am - 4.00 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Hyung Sough can be reached on 571-272-6799. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

KAUSHIKKUMAR PATEL  
Examiner  
Art Unit 2188

/K. P./  
March 11, 2008

/Hyung S SOUGH/  
Supervisory Patent Examiner, Art Unit 2188